



Please type a plus sign (+) inside this box → ☐

HDP/SB/21 based on PTO/SB/21 (08-00)

DAC \$

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/058,454          |
| Filing Date            | January 28, 2002    |
| Inventor(s)            | Andreas FALK et al. |
| Group Art Unit         | 2838                |
| Examiner Name          | Gary L. LAXTON      |
| Attorney Docket Number | 40770-000127/US     |

RECEIVED

FEB 20 2004

OFFICE OF PETITIONS

### ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☒ Fee Attached

☒ Amendment

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request

☐ Express Abandonment Request

☒ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☒ Drawing Correction Approval Request  
w/two (2) drawings

☐ Licensing-related Papers

☒ Petition Revive An Unintentionally  
Abandoned Application

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ LETTER SUBMITTING APPEAL  
BRIEF AND APPEAL BRIEF (w/clean  
version of pending claims)

☐ Appeal Communication to Group  
(Notice of Appeal, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s)  
(please identify below):

PTO-1449 form w/three (3)  
references; and copy of European  
Search Report

Remarks

**MAIL STOP PETITION**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Harness, Dickey & Pierce, P.L.C.

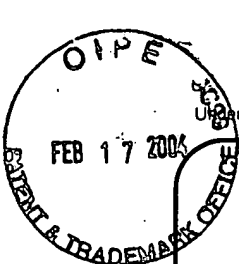
Attorney Name  
Donald J. Daley

Reg. No.  
34,313

Signature

Date

February 17, 2004



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (10-01)

Appr use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known

|                     |                     |
|---------------------|---------------------|
| Application Number  | 10/058,454          |
| Filing Date         | January 28, 2002    |
| Inventor(s)         | Andreas FALK et al. |
| Examiner Name       | Gary L. LAXTON      |
| Group Art Unit      | 2838                |
| Attorney Docket No. | 40770-000127/US     |

FEE TRANSMITTAL  
for FY 2003

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1510.00

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

08-0750

Deposit  
Account  
Name

Harness, Dickey & Pierce, P.L.C.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description        | Fee Paid |
|----------------------|-----------------------|----------------------|-----------------------|------------------------|----------|
| 1001                 | 770                   | 2001                 | 385                   | Utility filing fee     |          |
| 1002                 | 340                   | 2002                 | 170                   | Design filing fee      |          |
| 1003                 | 530                   | 2003                 | 265                   | Plant filing fee       |          |
| 1004                 | 770                   | 2004                 | 385                   | Reissue filing fee     |          |
| 1005                 | 160                   | 2005                 | 80                    | Provisional filing fee |          |

SUBTOTAL (1)

(\$ 0

2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee Paid |
|-----------------------|-----------------|-------------------|----------|
| 20 **                 | =               | 18                | =        |
| Independent<br>Claims | -3 **           | =                 | 84       |
| Multiple<br>Dependent | X               | 290               | =        |

| Large<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Small<br>Fee<br>Code | Entity<br>Fee<br>(\$) | Fee Description  |
|----------------------|-----------------------|----------------------|-----------------------|--|
| 1202                 | 18                    | 2202                 | 9                     | Claims in excess of 20                                     |
| 1201                 | 86                    | 2201                 | 43                    | Independent claims in excess of 3                          |
| 1203                 | 290                   | 2203                 | 145                   | Multiple dependent claim, if not paid                      |
| 1204                 | 86                    | 2204                 | 43                    | ** Reissue independent claims over original patent         |
| 1205                 | 18                    | 2205                 | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$

\*\*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large<br>Entity<br>Fee<br>Code | Large<br>Entity<br>Fee<br>(\$) | Small<br>Entity<br>Fee<br>Code | Small<br>Entity<br>Fee<br>(\$) | Fee Description  | Fee Paid |
|--------------------------------|--------------------------------|--------------------------------|--------------------------------|--|----------|
| 1051                           | 130                            | 2051                           | 65                             | Surcharge - late filing fee or oath  |          |
| 1052                           | 50                             | 2052                           | 25                             | Surcharge - late provisional filing fee or cover sheet.                    |          |
| 1053                           | 1053                           | 1053                           | 130                            | Non-English specification  |          |
| 1812                           | 2,520                          | 1812                           | 2,520                          | For filing a request for reexamination                                     |          |
| 1804                           | 920*                           | 1804                           | 920*                           | Requesting publication of SIR prior to Examiner action                     |          |
| 1805                           | 1,840*                         | 1805                           | 1,840*                         | Requesting publication of SIR after Examiner action                        |          |
| 1251                           | 110                            | 2251                           | 55                             | Extension for reply within first month                                     |          |
| 1252                           | 420                            | 2252                           | 210                            | Extension for reply within second month                                    |          |
| 1253                           | 950                            | 2253                           | 475                            | Extension for reply within third month                                     |          |
| 1254                           | 1,480                          | 2254                           | 740                            | Extension for reply within fourth month                                    |          |
| 1255                           | 2,010                          | 2255                           | 1,005                          | Extension for reply within fifth month                                     |          |
| 1401                           | 330                            | 2401                           | 165                            | Notice of Appeal   |          |
| 1402                           | 330                            | 2402                           | 165                            | Filing a brief in support of an appeal                                     |          |
| 1403                           | 290                            | 2403                           | 145                            | Request for oral hearing   |          |
| 1451                           | 1,510                          | 1451                           | 1,510                          | Petition to institute a public use proceeding                              |          |
| 1452                           | 110                            | 2452                           | 55                             | Petition to revive - unavoidable   |          |
| 1453                           | 1,330                          | 2453                           | 665                            | Petition to revive - unintentional   | 1330     |
| 1501                           | 1,330                          | 2501                           | 665                            | Utility issue fee (or reissue)   |          |
| 1502                           | 480                            | 2502                           | 240                            | Design issue fee   |          |
| 1503                           | 640                            | 2503                           | 320                            | Plant issue fee  |          |
| 1460                           | 130                            | 1460                           | 130                            | Petitions to the Commissioner  |          |
| 1807                           | 50                             | 1807                           | 50                             | Processing fee under 37 CFR 1.17 (q)                                       |          |
| 1806                           | 180                            | 1806                           | 180                            | Submission of Information Disclosure Stmt                                  | 180      |
| 8021                           | 40                             | 8021                           | 40                             | Recording each patent assignment per property (times number of properties) |          |
| 1809                           | 770                            | 2809                           | 385                            | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 1810                           | 770                            | 2810                           | 385                            | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 1801                           | 770                            | 2801                           | 385                            | Request for Continued Examination (RCE)                                    |          |
| 1802                           | 900                            | 1802                           | 900                            | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$ 1510.00

SUBMITTED BY

Complete (if applicable)

|                   |                 |                                 |                   |           |              |
|-------------------|-----------------|---------------------------------|-------------------|-----------|--------------|
| Name (Print/Type) | Donald J. Daley | Registration No. Attorney/Agent | 34,313            | Telephone | 703-668-8000 |
| Signature         |                 | Date                            | February 17, 2004 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

#12



PATENT  
40770-000127/US

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant(s):           Andreas FALK et al.  
Application No.:       **10/058,454**  
Filed:                   January 28, 2002  
Group No.:             2838  
Conf. No.:             4248  
Examiner:             Gary L. LAXTON  
For:                    MEDIUM FREQUENCY ENERGY SUPPLY FOR RAIL VEHICLES

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**DRAWING CORRECTION APPROVAL REQUEST**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

February 17, 2004

Dear Sir:

In response to an objection made by the Examiner, Applicants respectfully request the Examiner's approval of the following drawing corrections indicated in red ink on the attached sheets. The following are the corrections.

Please correct Figures 1 and 4 as shown in red ink on the attached sheets.

No new matter has been entered via the drawing corrections. Corrected formal drawings will be prepared in accordance with the Notice of Draftperson's Patent Drawing Review Form PTO-948 and will be filed upon approval by the Examiner and subsequent indication of allowance of the present application.

If there are any outstanding matters remaining in this application, the Examiner is invited to contact the undersigned, at the number listed below in the Washington, D.C. area in order to discuss these matters.

If necessary, the Commissioner is hereby authorized in this, concurrent, and further replies, to charge payment or credit any overpayment to Deposit Account No. **08-0750** for any additional fees required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKY & PIERCE, P.L.C

By

  
Donald J. Daley, Reg. No. 34,313

DJD/bof

P.O. Box 8910  
Reston, Virginia 20195  
(703) 668-8000

Attachment: Four (4) Sheets of Drawings with requested corrections in red ink.